103D CONGRESS 2D SESSION

# H. R. 4597

To amend the Occupational Safety and Health Act of 1970.

#### IN THE HOUSE OF REPRESENTATIVES

June 17, 1994

Mr. Fawell (for himself, Mr. Goodling, Mr. Petri, Mr. Gunderson, Mr. Ballenger, Ms. Molinari, Mr. Barrett of Nebraska, Mr. Hoekstra, Mr. McKeon, Mr. Miller of Florida, Mr. Greenwood, Mr. Packard, Mr. Armey, Mr. Hefley, Mr. Canady, Mr. Knollenberg, Mr. Stenholm, Mr. Upton, Mr. Cunningham, Mr. Castle, Mr. Hancock, Mr. Lewis of Florida, Mr. Porter, and Ms. Pryce of Ohio) introduced the following bill; which was referred to the Committee on Education and Labor

## A BILL

To amend the Occupational Safety and Health Act of 1970.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 **SECTION 1. SHORT TITLE.**
- 4 (a) SHORT TITLE.—This Act may be cited as the
- 5 "Occupational Safety and Health Reform Act".
- 6 (b) Reference.—Whenever in this Act an amend-
- 7 ment or repeal is expressed in terms of an amendment
- 8 to, or repeal of, a section or other provision, the reference

- 1 shall be considered to be made to a section or other provi-
- 2 sion of the Occupational Safety and Health Act of 1970.

#### 3 SEC. 2. CONGRESSIONAL COVERAGE.

- 4 The Occupational Safety and Health Act of 1970 (29
- 5 U.S.C. 668) is amended by redesignating sections 20
- 6 through 34 as sections 21 through 35, respectively, and
- 7 by adding after section 19 the following:
- 8 "COVERAGE OF THE HOUSE OF REPRESENTATIVES
- 9 "Sec. 20. (a) Each employing authority and instru-
- 10 mentality of Congress shall comply with section 5, with
- 11 the occupational safety and health standards issued under
- 12 section 6 and with any other requirements and regulations
- 13 issued under this Act.
- 14 "(b) The Secretary shall conduct random inspections
- 15 of places of employment under the jurisdiction or control
- 16 of employing authorities and the instrumentalities of Con-
- 17 gress. Such inspections shall be conducted at least once
- 18 during each Congress. The Secretary shall also respond
- 19 to any request that meets the conditions of section 8(f).
- 20 Such inspections shall identify each condition or practice
- 21 which the Secretary believes is a violation of a requirement
- 22 under section 5, of an occupational safety and health
- 23 standard issued under section 6, or of any regulation is-
- 24 sued under this Act. Upon completion of such inspection,
- 25 the Secretary shall report all such conditions and practices

- 1 to the Director of Non-Legislative and Financial Services
- 2 of the House of Representatives.
- 3 "(c) The Director of Non-Legislative and Financial
- 4 Services of the House of Representatives, shall, as soon
- 5 after the receipt of a report under subsection (b) as is
- 6 practicable, appoint a special counsel to seek abatement
- 7 of any conditions and practices identified in such report
- 8 as not in compliance with the requirements of section 5,
- 9 6, 8, or any other provision of this Act and to assess ap-
- 10 propriate penalties against the employing authority or in-
- 11 strumentality of Congress for noncompliance with such re-
- 12 quirements. The requirements and criteria applicable to
- 13 the determination and assessment of civil and criminal
- 14 penalties under section 17 shall apply with respect to such
- 15 noncompliance. Conditions and practices which may result
- 16 in a criminal penalty under section 17 shall be referred
- 17 to the Attorney General for prosecution.
- 18 "(d) Any employing authority or instrumentality of
- 19 Congress which is assessed a penalty under subsection (c)
- 20 may appeal the assessment to the Office of Fair Employ-
- 21 ment Practices which shall afford an opportunity for a
- 22 hearing and shall thereafter issue a decision based on find-
- 23 ings of fact which affirms, modifies, or vacates the actions
- 24 of the special counsel appointed under subsection (c). Any
- 25 person adversely affected or aggrieved by the decision of

- 1 the Office of Fair Employment Practices may obtain re-
- 2 view of such decision by the United States Court of Ap-
- 3 peals for the circuit in which such violation is alleged to
- 4 have occurred or by the Court of Appeals for the District
- 5 of Columbia Circuit under the standards and conditions
- 6 for review of orders by the Commission in section 11.
- 7 "(e)(1) No person shall discharge or in any manner
- 8 discriminate against any employee because such employee
- 9 has filed any complaint or instituted or caused to be insti-
- 10 tuted under or related to this section or has testified or
- 11 is about to testify in any such proceeding or because of
- 12 the exercise by such employee on behalf of such employee
- 13 or others of any right afforded by this section.
- 14 "(2) Any employee who believes that such employee
- 15 has been discharged or otherwise discriminated against by
- 16 any such person in violation of paragraph (1) may, within
- 17 60 days after such violation occurs, file a complaint with
- 18 the Office of Fair Employment Practices. Such office shall
- 19 provide for a hearing and issue an order based upon find-
- 20 ings of fact and conclusions of law. In such an order, such
- 21 office may require a person charged with committing a
- 22 violation of paragraph (1) to take appropriate affirmative
- 23 action, including rehiring or reinstatement of the employee
- 24 involved to the employee's former position with back pay
- 25 and interest and may award a prevailing party a reason-

- 1 able attorney's fee. Any person adversely affected or ag-
- 2 grieved by the decision of such office may obtain review
- 3 of such decision by the United States court of appeals for
- 4 the circuit in which such violation is alleged to have oc-
- 5 curred or by the Court of Appeals for the District of Co-
- 6 lumbia circuit under the standards and conditions for re-
- 7 view of orders by the Commission under section 11.
- 8 "(f) For purposes of this section—
- 9 "(1) the term 'employing authority' has the
- meaning given such term in the Fair Employment
- 11 Practices Resolution (House Resolution 558 of the
- One Hundredth Congress, as adopted October 4,
- 13 1988, and incorporated into rule LI of the Rules of
- the House of Representatives of the One Hundred
- and Second Congress); and
- 16 "(2) the term 'instrumentalities of the Con-
- gress' includes the Architect of the Capitol, the Li-
- brary of Congress, the Congressional Budget Office,
- 19 the General Accounting Office, the Government
- 20 Printing Office, the Office of Technology Assess-
- 21 ment, and the United States Botanic Garden.".
- 22 SEC. 3. STANDARD SETTING.
- 23 (a) STANDARDS.—Section 6(b)(5) (29 U.S.C.
- 24 655(b)(5)) is amended to read as follows:

1	"(5) The development of standards under this
2	section shall be based upon the latest scientific data
3	in the field and on such research, demonstrations
4	experiments, and such other information as may be
5	appropriate. In establishing such standards, the Sec-
6	retary shall consider and make findings concerning
7	the appropriateness of the standard to the following
8	factors:
9	"(A) The standard is needed to address a
10	significant risk of material impairment to work-
11	ers and will substantially reduce that risk.
12	"(B) The standard is feasible.
13	"(C) There is a reasonable relationship be-
14	tween the costs and benefits of the standard.
15	"(D) The standard will provide protection
16	to workers in the most cost-effective manner
17	and minimize employment loss due to the
18	standard in the affected industries and sectors
19	of industries.
20	"(E) Whenever practicable, the standard
21	shall be expressed in terms of objective criteria
22	and of the performance desired.".
23	(b) STANDARD PRIORITIES.—The second sentence of
24	section 6(g) (29 U.S.C. 655(g)) is amended to read as

25 follows: "In determining the priority for establishing

- 1 standards dealing with toxic materials or harmful physical
- 2 agents, the Secretary shall consider the number of workers
- 3 exposed to the substance, the nature and severity of poten-
- 4 tial impairment, and the likelihood of such impairment
- 5 based upon such information as the Secretary shall obtain
- 6 from the Environmental Protection Agency, the Depart-
- 7 ment of Health and Human Services, and other appro-
- 8 priate sources.".
- 9 (c) Procedure.—Section 6(b)(1) (29 U.S.C.
- 10 655(b)(1)) is amended by striking out all after "Act" the
- 11 first time it appears and inserting in lieu thereof the fol-
- 12 lowing: ", the Secretary shall promulgate such rule in ac-
- 13 cordance with subchapter IV of chapter 5 of title 5, United
- 14 States Code, unless the Secretary determines on the basis
- 15 of the factors listed in section 583 of title 5, United States
- 16 Code, that such procedure would be inappropriate. The re-
- 17 quirements of this paragraph do not alter in any manner
- 18 the requirements otherwise applicable to the Secretary's
- 19 action in promulgating an occupational health and safety
- 20 standard.".
- 21 (d) REGULATORY FLEXIBILITY ANALYSIS.—Section
- 22 6 (29 U.S.C. 655) is amended by adding at the end the
- 23 following:
- 24 "(h) In promulgating any occupational safety and
- 25 health standard under subsection (b), the Secretary shall

- 1 perform a regulatory flexibility analysis described in sec-
- 2 tions 603 and 604 of title 5, United States Code.
- 3 "(i) In promulgating any occupational safety and
- 4 health standard under subsection (b), the Secretary shall
- 5 minimize the time, effort, and costs involved in the reten-
- 6 tion, reporting, notifying, or disclosure of information to
- 7 the Secretary, to third parties, or to the public to the ex-
- 8 tent consistent with the purpose of the standard. Compli-
- 9 ance with the requirement of this subsection may be in-
- 10 cluded in a review under subsection (f).".

#### 11 SEC. 4. APPLICATION OF ACT.

- 12 (a) Reports.—Section 4(b)(3) (29 U.S.C.
- 653(b)(3) is amended to read as follows:
- 14 "(3) The Secretary shall report on an annual basis
- 15 to the Congress on the number and nature of the com-
- 16 plaints the Secretary receives which the Secretary does not
- 17 respond to because of paragraph (1) of this subsection.
- 18 The Secretary shall include in such report the Secretary's
- 19 recommendation for legislation to avoid unnecessary dupli-
- 20 cation and to achieve coordination between this Act and
- 21 other Federal laws.".
- 22 (b) Purposes.—Section 4 (29 U.S.C. 653) is amend-
- 23 ed by adding at the end the following:
- 24 "(c) In order to carry out the purposes of this Act
- 25 to encourage employer and employees in their efforts to

1	reduce the number of occupational safety and health haz-
2	ards—
3	"(1) an employee participation committee or
4	plan—
5	"(A) in which employees participate,
6	"(B) which exists for the purpose, in whole
7	or in part, of dealing with employees concerning
8	the safety or health of working conditions or re-
9	lated matters, and
10	"(C) which does not have, claim, or seek
11	authority to negotiate or enter into collective
12	bargaining agreements with the employer or to
13	amend existing collective bargaining agreements
14	between the employer and any labor organiza-
15	tion,
16	shall not constitute a 'labor organization' for pur-
17	poses of section 8(a)(2) of the National Labor Rela-
18	tions Act or a representative for purposes of sections
19	1 and 2 of the Railway Labor Act, and
20	"(2) records of safety and health inspections,
21	audits, or reviews conducted by an employer and not
22	required by this Act shall not be required to be dis-
23	closed to the Secretary except as may be necessary
24	to determine eligibility for an exemption from in-
25	spection under section 8A.".

#### 1 SEC. 5. VARIANCES.

- 2 Section 6(d) (29 U.S.C. 655(d)) is amended by add-
- 3 ing at the end the following: "If the Secretary has failed
- 4 to act on an application for a variance within 180 days
- 5 of the filing of such application, the variance shall be
- 6 deemed to have been issued as of the date of such filing
- 7 unless the delay in the Secretary's action is caused by ac-
- 8 tions or inactions of the applicant.".

#### 9 SEC. 6. INSPECTIONS.

- 10 (a) Training and Authority of Secretary.—
- 11 Section 8 (29 U.S.C. 657) is amended by redesignating
- 12 subsection (g) as subsection (l) and by adding after sub-
- 13 section (f) the following:
- 14 "(g) Inspections conducted under this section shall
- 15 be conducted by at least one individual who has training
- 16 in and is knowledgeable of the industry or types of hazards
- 17 being inspected.
- 18 "(h) The Secretary shall enter into agreements with
- 19 other Federal agencies and with States to train inspection
- 20 personnel of agencies which conduct inspections of employ-
- 21 ers to inspect places of employment to determine if em-
- 22 ployee fire protection is adequate and shall establish a sys-
- 23 tem for referral of fire hazards to the Secretary after noti-
- 24 fication to the employer, if the employer fails to take cor-
- 25 rective actions.

- "(i)(1) Except as provided in paragraph (2), the Sec-1 retary shall not conduct routine inspections of, or enforce any standard, rule, regulation, or order under this Act 3 with respect to, any person who is engaged in a farming 4 operation which does not maintain a temporary labor camp and employs 10 or fewer employees or any employer of not more than 10 employees if such employer is included within a category of employers having an occupa-8 tional injury or a lost work day case rate (determined under the Standard Industrial Classification Code for which such data are published) which is less than the national average rate as most recently published by the Secretary acting through the Bureau of Labor Statistics under section 25. 14 15 "(2) Paragraph (1) shall, in the case of persons who are not engaged in farming operations, not prevent the 16 Secretary from— 17 18 "(A) providing under this Act consultations, 19 technical assistance, and educational and training 20 services and conducting under this Act surveys and 21 studies: 22 "(B) conducting inspections or investigations in
  - "(B) conducting inspections or investigations in response to employee's complaints, issuing citations for violations of this Act found during such an inspection, and assessing a penalty for violations

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1	which are not corrected within a reasonable abate-
2	ment period;
3	"(C) taking any action authorized by this Act
4	with respect to imminent dangers;
5	"(D) taking any action authorized by this Act
6	with respect to health standards;
7	"(E) taking any action authorized by this Act
8	with respect to a report of an employment accident
9	which is fatal to at least one employee or which re-
10	sults in hospitalization of at least 3 employees and
11	taking any action pursuant to an investigation of
12	such report; and
13	"(F) taking any action authorized by this Act
14	with respect to complaints of discrimination against
15	employees for exercising their rights under this
16	Act.".
17	(b) Employee Notice.—Section 8(f)(1) (29 U.S.C.
18	657(f)(1)) is amended—
19	(1) by striking out "he shall make" and insert-
20	ing in lieu thereof "the Secretary may make",
21	(2) by striking out "determines there" in the
22	third sentence and inserting in lieu thereof "deter-
23	mines that there", and
24	(3) by striking out "he shall notify" and insert-
25	ing in lieu thereof "or that the facts alleged in the

- 1 notification do not justify an exercise of the Sec-
- 2 retary's inspection authority under subsection (a) for
- any reason consistent with the standard used by the
- 4 Secretary to choose subjects for inspection under
- 5 that subsection, the Secretary shall notify".

#### 6 SEC. 7. CONSULTATION, VOLUNTARY COMPLIANCE INCEN-

- 7 TIVES, AND TECHNICAL ASSISTANCE.
- 8 (a) Programs.—The Occupational Safety and
- 9 Health Act of 1970 is amended by inserting after section
- 10 8 the following:
- 11 "WORKSITE-BASED INCENTIVES
- 12 "Sec. 8A.(a) The Secretary of Labor shall establish
- 13 an office to promote, administer, and coordinate the pro-
- 14 grams and activities described in this section.
- 15 "(b)(1) The Secretary shall by regulation establish a
- 16 program to encourage voluntary employer and employee
- 17 efforts to provide safe and healthful working conditions.
- "(2) In establishing a program under paragraph (1),
- 19 the Secretary shall, in accordance with paragraph (3), pro-
- 20 vide an exemption from all safety and health inspections
- 21 and investigations with respect to a place of employment
- 22 maintained by an employer, except inspections and inves-
- 23 tigations conducted for the purpose of—
- 24 "(A) determining the cause of a workplace
- accident which resulted in the death of one or more

1	employees or the hospitalization of 3 or more
2	employees,
3	"(B) responding to a request for an inspection
4	pursuant to paragraph (6)(A), or
5	"(C) carrying out a special emphasis program
6	under section 12.
7	"(3) In order to qualify for the exemption provided
8	under paragraph (2), an employer shall provide evidence
9	that—
10	"(A) the place of employment or conditions of
11	employment have, during the preceding year, been
12	reviewed or inspected under—
13	"(i) a consultation program provided by re-
14	cipients of grants under section $7(c)(1)$ or
15	24(g),
16	"(ii) a certification or consultation pro-
17	gram provided by an insurance carrier or other
18	private business entity pursuant to a State pro-
19	gram, law, or regulation, or
20	''(iii) a workplace consultation program
21	provided by any other person certified by the
22	Secretary for purposes of providing such con-
23	sultations and the program under clause (i) or
24	(ii) or this clause includes means of assuring
25	that serious hazards identified in the consulta-

1	tion are corrected within an appropriate time,
2	or
3	"(B) the place of employment has an exemplary
4	safety record and the employer maintains a safety
5	and health program for the workplace which in-
6	cludes—
7	"(i) procedures for assessing hazards to
8	the employer's employees which are inherent to
9	the employer's operations or business;
10	"(ii) procedures for correcting or control-
11	ling such hazards in a timely manner based
12	upon the severity of the hazard; and
13	"(iii) employee participation in the pro-
14	gram which participation includes at the least—
15	"(I) regular consultation between the
16	employer and non-supervisory employees
17	regarding safety and health issues;
18	"(II) assurances that participating
19	non-supervisory employees have training or
20	expertise on safety and health issues con-
21	sistent with their responsibilities; and
22	"(III) opportunity for non-supervisory
23	employees to make recommendations re-
24	garding hazards in the workplace and to
25	receive responses or to implement improve-

- 1 ments in response to such recommenda-
- 2 tions.
- 3 "(4) The Secretary may require that an employer in
- 4 order to claim the exemption give certification to the Sec-
- 5 retary and notice to the employer's employees of such eli-
- 6 gibility.
- 7 "(5)(A) The program established under paragraph
- 8 (1) shall provide that only a penalty assessed under sec-
- 9 tion 17(a) shall be reduced by 40 percent in any case in
- 10 which the employer maintains a written safety and health
- 11 program for the worksite at which the violation for which
- 12 the penalty was assessed took place.
- 13 "(B) No penalty shall be assessed against an em-
- 14 ployer for a violation except where an employer has failed
- 15 to correct a violation within a reasonable time set for
- 16 abatement or a violation which creates an imminent dan-
- 17 ger or has caused death or a willful violation which has
- 18 caused serious injury to an employee if the worksite at
- 19 which the violation occurred has been reviewed or in-
- 20 spected under a program described in section 8A(c)(1)
- 21 during the one year period before the date of the citation
- 22 for such violation.
- "(6) The Secretary shall, within 6 months after the
- 24 date of the enactment of the Occupational Safety and
- 25 Health Reform Act, establish a program for the certifi-

- 1 cation of safety and health consultants to conduct inspec-
- 2 tions under this section.
- 3 "(c)(1) The Secretary shall give special recognition
- 4 to worksites and companies and other organizations which
- 5 have implemented particularly effective approaches to ad-
- 6 dressing occupational safety and health in the workplace,
- 7 including those which provide for effective employee in-
- 8 volvement in improving safety and health, and which are
- 9 as a consequence deserving of special recognition. Such
- 10 recognition may include the exemptions from inspections
- 11 and reductions in penalties described in subsection (b).
- 12 "(2) A worksite or company or organization to which
- 13 an award is made under paragraph (1) and which agrees
- 14 to help other American companies or organizations im-
- 15 prove their occupational safety and health may publicize
- 16 its receipt of such award and use the award in its advertis-
- 17 ing.
- 18 "(3)(A) Subject to subparagraph (B), separate cri-
- 19 teria for recognition shall be used for qualifying organiza-
- 20 tions and companies in each of the following categories:
- 21 "(i) Small businesses.
- "(ii) Other companies or their subsidiaries.
- 23 "(iii) Companies which primarily perform con-
- 24 struction work.

- 1 "(B) The Secretary may at any time expand, sub-
- 2 divide, or otherwise modify the list of categories within
- 3 which awards may be made as initially in effect under sub-
- 4 paragraph (A) and may establish separate awards for
- 5 other organizations and companies including units of gov-
- 6 ernment.
- 7 "(4) An organization or company may qualify for an
- 8 award under paragraph (1) only if it—
- 9 "(A) applies to the Secretary in writing, for the
- award,
- 11 "(B) permits a rigorous evaluation of its occu-
- pational safety and health operations, and
- 13 "(C) meets such requirements and specifica-
- tions as the Secretary determines to be appropriate
- to achieve the objectives of this subsection.
- 16 In applying subparagraph (C) with respect to any organi-
- 17 zation or company, the Secretary shall rely upon an inten-
- 18 sive evaluation of the occupational safety and health oper-
- 19 ation. The examination should encompass all aspects of
- 20 the organization's or company's current occupational safe-
- 21 ty and health practice. The award shall be given only to
- 22 organizations and companies which have demonstrated
- 23 outstanding performance in their occupational safety and
- 24 health practices and which demonstrate effective occupa-

- 1 tional safety and health practices through the training and
- 2 involvement of all levels of personnel.
- 3 "(5) The Secretary shall ensure that all program par-
- 4 ticipants receive the complete results of their audits as
- 5 well as detailed explanations of all suggestions for im-
- 6 provements. The Secretary shall also provide information
- 7 about the awards and the successful quality improvement
- 8 strategies and programs of the award-winning participants
- 9 to all participants and other appropriate groups.
- 10 "(6) The Secretary is authorized to seek and accept
- 11 gifts, including in kind assistance, from public and private
- 12 sources to carry out the program under this subsection.
- 13 "(7) The Secretary shall prepare and submit to the
- 14 President and the Congress, within 3 years after the date
- 15 of the enactment of this section, a report on the progress,
- 16 findings, and conclusions of activities conducted pursuant
- 17 to this subsection along with recommendations for possible
- 18 modifications thereof.
- 19 "(d) This subsection authorizes the consultative serv-
- 20 ices to employers provided under cooperative agreements
- 21 between the States and the Occupational Safety and
- 22 Health Administration and described in part 1908 of title
- 23 39 of the Code of Federal Regulations.
- "(e) In addition to the other programs in this section,
- 25 the Secretary shall establish programs to provide edu-

- 1 cation, training, and technical assistance to employers and
- 2 employees to assist them in the provision of safe and
- 3 healthful workplaces and compliance with the require-
- 4 ments of this Act.
- 5 "(f) Not less than one-fourth of the annual appro-
- 6 priation made to the Secretary to carry out this Act shall
- 7 be expended to carry out this section.".
- 8 (b) Definition.—Section 3 (29 U.S.C. 652) is
- 9 amended by adding at the end the following:
- 10 "(15) The term 'exemplary safety record'
- means such record as the Secretary shall annually
- determine for each industry. Such record shall in-
- clude employers which have had, in the most recent
- reporting period, no employee death caused by occu-
- pational injury and fewer lost workdays due to occu-
- pational injury and illness than the average for the
- industry of which the employer is a part.".
- 18 SEC. 8. EMPLOYER DEFENSES.
- 19 Section 9 (29 U.S.C. 658) is amended by adding at
- 20 the end the following:
- 21 "(d) No citation may be issued under subsection (a)
- 22 to an employer unless the employer knew or with the exer-
- 23 cise of reasonable diligence would have known of the pres-
- 24 ence of the alleged violation. No citation shall be issued
- 25 under subsection (a) to an employer for an alleged viola-

- 1 tion of section 5, any standard, rule, or order promulgated
- 2 pursuant to section 6, any other regulation promulgated
- 3 under this Act, or any other occupational safety and
- 4 health standard if such employer demonstrates that—
- 5 "(1) employees of such employer have been pro-6 vided with the proper training and equipment to pre-7 vent such a violation;
- "(2) work rules designed to prevent such a violation have been established and adequately communicated to employees by such employer and the employer has taken reasonable measures to discipline
  employees when violations of such work rules have
  been discovered;
- 14 "(3) the failure of employees to observe work 15 rules led to the violation; and
- "(4) reasonable steps have been taken by suchemployer to discover any such violation.
- 18 "(e) A citation issued under subsection (a) to an em-
- 19 ployer who violates the requirements of any standard, rule,
- 20 or order promulgated pursuant to section 6 or any other
- 21 regulation promulgated under this Act shall be vacated if
- 22 such employer demonstrates that employees of such em-
- 23 ployer were protected by alternative methods equally or
- 24 more protective of the employee's safety and health than

- 1 those required by such standard, rule, order, or regulation
- 2 in the factual circumstances underlying the citation.
- 3 "(f) Subsections (d) and (e) shall not be construed
- 4 to eliminate or modify other defenses which may exist to
- 5 any citation.".
- 6 SEC. 9. THE OCCUPATIONAL SAFETY AND HEALTH REVIEW
- 7 **COMMISSION.**
- 8 (a) Section 10.—Section 10(c) (29 U.S.C 659(c))
- 9 is amended—
- 10 (1) in the first sentence, by striking out "fifteen
- working days" and inserting in lieu thereof "30
- working days", and
- 13 (2) by striking out the second sentence and in-
- serting in lieu thereof the following: "The Commis-
- sion shall issue an order, based on de novo findings
- of fact and de novo conclusions of law, affirming,
- modifying, or vacating the Secretary's citation or
- proposed penalty or directing other appropriate re-
- lief. Such order shall become final 30 days after it
- is issued.".
- 21 (b) Section 11.—Section 11(a) (29 U.S.C 660(a) is
- 22 amended by inserting after "conclusive" at the end of the
- 23 sixth sentence the following: "and the Commission's con-
- 24 clusions with respect to questions of law shall be given
- 25 deference if reasonable".

#### 1 SEC. 10. DISCRIMINATION.

- 2 Section 11(c) (29 U.S.C. 660(c)) is amended by strik-
- 3 ing out paragraphs (2) and (3) and inserting in lieu there-
- 4 of the following:
- 5 "(2) Any employee who believes that the employee
- 6 has been discharged or otherwise discriminated against by
- 7 any person in violation of paragraph (1) or who believes
- 8 that the employee has been discharged or discriminated
- 9 against because of an action taken by the employee under
- 10 section 8(f), may, within 60 days after such violation oc-
- 11 curs, file a complaint with the Secretary alleging such dis-
- 12 crimination. Upon receipt of such a complaint the Sec-
- 13 retary shall notify the person named in the complaint and
- 14 begin an investigation to determine if the Secretary
- 15 should, on behalf of such employee, request the Commis-
- 16 sion to take action on the basis of such complaint. The
- 17 Secretary shall make such determination within 90 days
- 18 of the filing of such complaint.
- 19 "(3) If within such 90 days, the Secretary does not
- 20 file a complaint on behalf of the complainant with the
- 21 Commission, such employee may file such complaint with
- 22 the Commission. If such a complaint is filed with the Com-
- 23 mission, the Commission shall provide opportunity for a
- 24 hearing (in accordance with section 554 of title 5, United
- 25 States Code, but without regard to subsection (a)(3) of
- 26 such section), and issue an order, based upon findings of

- 1 fact and conclusions of law. In such an order, the Commis-
- 2 sion may require a person charged with committing a vio-
- 3 lation of paragraph (1) to take appropriate affirmative ac-
- 4 tion, including rehiring or reinstatement of the employee
- 5 to the employee's former position with back pay and inter-
- 6 est. Upon completion of a proceeding on such order, the
- 7 Commission may award the prevailing party a reasonable
- 8 attorney's fee. Final orders of the Commission may be ap-
- 9 pealed as provided in subsection (a).
- 10 "(4)(A) Anytime after a complaint has been filed with
- 11 the Secretary alleging a violation of paragraph (1), the
- 12 complaining employee, the person charged with commit-
- 13 ting the violation (referred to in this paragraph as the 're-
- 14 spondent'), or the Secretary have the right to request that
- 15 the complaint be referred to the Federal Mediation and
- 16 Conciliation Service (referred to in this paragraph as the
- 17 'Service') for mediation of the dispute. In lieu of receiving
- 18 mediation services from the Service, the parties may upon
- 19 mutual agreement refer the complaint to a mediator other
- 20 than one provided by the Service.
- 21 "(B) During mediation, the respondent and the com-
- 22 plaining party may be represented by legal counsel or
- 23 other representative of their choice.
- 24 "(C)(i) All contested proceedings shall be stayed dur-
- 25 ing the time for mediation and neither the Secretary or

- 1 the complaining party shall file a complaint pending com-
- 2 pletion of the mediation.
- 3 "(ii) The mediator shall have 60 days from the time
- 4 of the referral to mediate the dispute to complete the me-
- 5 diation. If the complaint has not been resolved within such
- 6 60-day period or such extension as may be agreed upon,
- 7 the mediation shall be deemed to be completed. The par-
- 8 ties may extend the mediation for an additional 60 days
- 9 by mutual agreement.
- 10 "(iii) The complaint shall be resolved through medi-
- 11 ation in a manner that is mutually agreeable to the par-
- 12 ties. Such resolution shall be binding upon the parties and
- 13 shall preclude resort to other legal proceedings except as
- 14 provided in subparagraph (E).
- 15 "(D)(i) Any agreement shall be kept confidential by
- 16 the parties to the mediation unless all parties to the medi-
- 17 ation agree otherwise in writing.
- 18 "(ii) All communications, oral or written, made in
- 19 connection with the mediation (including memoranda,
- 20 work product, transcripts, notes, or other materials) shall
- 21 be kept confidential by the participants to the mediation.
- 22 "(iii) The material referred to in clause (ii) shall not
- 23 be subject to disclosure through discovery or compulsory
- 24 process and shall not be used as evidence in any investiga-
- 25 tory, arbitral, judicial, administrative, or other proceeding.

- 1 "(E) A party to an agreement made pursuant to me-
- 2 diation under this paragraph may bring an action to en-
- 3 force the agreement in any Federal or State court of com-
- 4 petent jurisdiction.
- 5 "(F) Except as provided in subparagraph (C)(iii),
- 6 nothing in this paragraph shall be interpreted to effect or
- 7 modify whatever rights and obligations the parties may
- 8 have under arbitration agreements or other form of alter-
- 9 native dispute resolution mechanisms.".

#### 10 SEC. 11. ENFORCEMENT.

- 11 (a) Special Conditions and Practices.—Section
- 12 13 (29 U.S.C. 662) is amended by striking out subsection
- 13 (c), by redesignating subsections (a) and (b) as sub-
- 14 sections (b) and (c), respectively, and by inserting before
- 15 subsection (b) (as so redesignated) the following:
- (a)(1) If the Secretary determines, on the basis of
- 17 an inspection or investigation under this section, that a
- 18 condition or practice in a place of employment is such that
- 19 an imminent danger to safety or health exists that could
- 20 reasonably be expected to cause death or serious physical
- 21 harm or permanent impairment of the health or functional
- 22 capacity of employees if not corrected immediately or be-
- 23 fore the imminence of such danger can be eliminated
- 24 through the enforcement procedures otherwise provided by
- 25 this Act, the Secretary may so inform the employer and

- 1 provide notice to the affected employees and shall request
- 2 that the condition or practice be corrected immediately or
- 3 that employees be immediately removed from exposure to
- 4 such danger. The notice shall be removed by the Secretary
- 5 from the place of employment not later than 72 hours
- 6 after the notice was first posted unless a court in an action
- 7 brought under subsection (c) requires that the notice be
- 8 maintained. The Secretary shall not prevent the continued
- 9 activity of employees whose presence is necessary to avoid,
- 10 correct, or remove such imminent danger or to maintain
- 11 the capacity of a continuous process operation to resume
- 12 normal operations without a cessation of operations or
- 13 where cessation of operations is necessary, to permit such
- 14 to be accomplished in a safe and orderly way.
- 15 "(2) No person shall discharge or in any manner dis-
- 16 criminate against any employee because such employee
- 17 has refused to perform a duty that has been identified as
- 18 the source of an imminent danger by a notice posted pur-
- 19 suant to paragraph (1).".
- 20 (b) Mandatory Special Emphasis.—Section 8 (29)
- 21 U.S.C. 657), as amended by section 6, is amended by add-
- 22 ing at the end the following:
- 23 "(j)(1) The Secretary shall establish and carry out
- 24 a special emphasis program for identifying and correcting

- 1 existing or newly recognized hazards in selected industries
- 2 and operations and high hazard industries and operations.
- 3 "(2) Each special emphasis program under para-
- 4 graph (1) shall consist of a planned and coordinated ef-
- 5 fort, including outreach, education and training programs,
- 6 and inspections. Before beginning any such program, the
- 7 Secretary shall meet and discuss with representatives of
- 8 employers and employees in the industries affected by
- 9 such program the intended goals and benefits of such pro-
- 10 gram, the number of inspections under such program, and
- 11 the nature of other activities planned. To the extent prac-
- 12 ticable, the Secretary shall coordinate efforts with such
- 13 representatives. Each such program shall have a date of
- 14 termination and shall include methods of evaluating the
- 15 effectiveness of the program in reducing illness and injury
- 16 in the targeted industries or operations.".
- 17 (c) Investigations of Deaths and Serious Inci-
- 18 DENTS.—Section 8 (29 U.S.C. 657), as amended by sub-
- 19 section (b), is amended by adding at the end the following:
- 20 "(k)(1) The Secretary shall investigate any work-re-
- 21 lated death or serious incident.
- 22 "(2) If a death or serious incident occurs in a place
- 23 of employment covered by this Act, the employer shall no-
- 24 tify the Secretary of the death or serious incident.

- 1 "(3) As used in this subsection, the term 'serious in-
- 2 cident' means an incident that results in the hospitaliza-
- 3 tion of 3 or more employees. The Secretary shall by regu-
- 4 lation define 'hospitalization'.''.

#### 5 SEC. 12. PENALTIES.

- 6 (a) IN GENERAL.—Section 17 (29 U.S.C. 666) is
- 7 amended by striking out subsections (a), (b), (c), (j), and
- 8 (k), by redesignating subsections (d), (e), (f), (g), (h), (i),
- 9 and (l) as subsections (b), (c), (d), (e), (f), (g), and (h),
- 10 and by inserting after "17." the following:
- 11 "(a) Any employer who violates the requirements of
- 12 section 5, any standard, rule, or order promulgated pursu-
- 13 ant to section 6, or any other regulation promulgated
- 14 under this Act may be assessed a civil penalty of not more
- 15 than \$7,000. The Commission shall have authority to as-
- 16 sess all civil penalties provided in this section, giving due
- 17 consideration to the appropriateness of the penalty with
- 18 respect to—
- 19 "(1) the size of the employer,
- 20 "(2) the number of employees exposed to the
- violation,
- 22 "(3) the likely severity of any injuries directly
- resulting from such violation,
- 24 "(4) the probability that the violation could re-
- sult in injury or illness,

"(5) the employer's good faith in correcting the 1 violation after it has been identified, 2 "(6) the extent to which employee misconduct 3 was responsible for the violation, and "(7) the effect of the penalty on the employer's ability to stay in business.". 6 7 (b) Special Assessments.—Section 17 (29 U.S.C. 666), as amended by subsection (a), is amended by adding 8 at the end the following: "(i) The Secretary shall, by regulation, prescribe pro-10 cedures for determining that conditions surrounding a violation warrant a special assessment. Such regulation shall provide that all findings shall be in narrative form and provide for individual review of violations for special assessment in the following circumstances: 15 "(1) Violations causing fatalities. 16 17 "(2) An excessive history of serious injuries to 18 employees or a pattern of violations of this Act 19 which cause or are likely to cause death or serious 20 physical injury to employees. When the Secretary determines that a special assessment is appropriate, the Secretary may apply an appropriate multiplier, based on the factors listed in subsection (a), of not greater than 10 to the penalty determined under

subsection (a). In addition to any fines assessed with re-

- 1 spect to the violations described in paragraphs (1) and (2),
- 2 the Secretary may require the employer involved to estab-
- 3 lish a comprehensive safety and health program for the
- 4 worksite at which the violations occurred and provide reg-
- 5 ular certification to the Secretary that such employer is
- 6 in compliance with such program.".
- 7 (c) Penalties.—
- 8 (1) CITATIONS.—Section 17 (29 U.S.C. 666),
- 9 as amended by subsection (b), is amended by adding
- at the end the following:
- 11 "(j) Nothing in this Act shall be construed as requir-
- 12 ing the Secretary to issue a citation for violations of this
- 13 Act if the Secretary believes that the public interest will
- 14 be adequately served by a suitable written notice or warn-
- 15 ing.".
- 16 (2) KNOWING VIOLATION.—Subsection (c) of
- section 17 (29 U.S.C. 666), as amended by sub-
- section (a), is amended to read as follows:
- 19 "(c) If any employer who knowingly violates any
- 20 standard, rule, or order promulgated pursuant to section
- 21 6 or any regulation prescribed pursuant to this Act and
- 22 if such violation caused death to any employee, such em-
- 23 ployer, if such employer knew such violation would place
- 24 an employee in imminent danger of death and the employ-
- 25 er's conduct in the circumstances manifests an extreme

- 1 indifference or reckless disregard of human life, shall upon
- 2 conviction be punished by a fine in accordance with section
- 3 3571 of title 18, United States Code, or by imprisonment
- 4 of not more than 3 years, or both. If the conviction is
- 5 for a violation committed after a first conviction under
- 6 this subsection of such person, imprisonment shall be for
- 7 not more than 6 years.".
- 8 (d) Victim's Rights.—Section 10 (29 U.S.C. 659)
- 9 is amended by adding at the end the following:
- 10 "(d)(1) The Secretary shall provide any individual
- 11 who is a victim of a violation of this Act with—
- 12 "(A) access to information respecting any inves-
- tigation of the Secretary or hearing by the Commis-
- sion of such violation, to citations issued for such
- violation, to penalties imposed under this section for
- such violation, and to settlements made respecting
- such violation, and
- 18 "(B) an opportunity to meet with the Secretary
- or a representative of the Secretary respecting such
- violation.
- 21 "(2) For purposes of paragraph (1), the term 'victim'
- 22 means—
- 23 "(A) an employee who has sustained a work-re-
- lated injury or illness which is the subject of an in-

- spection or investigation conducted under section 8,
- 2 or
- 3 "(B) a family member of an employee described
- 4 in subparagraph (A) who is killed as a result of such
- 5 injury or illness.".

#### 6 SEC. 13. STATE PROGRAMS.

- 7 Section 18(c) (29 U.S.C. 667(c)) is amended—
- 8 (1) in paragraph (2), by striking out "and
- 9 which" and inserting in lieu thereof "which" and by
- inserting after the comma at the end the following:
- 11 "and which standards when applicable to the label-
- ing, content, and hazard information for such prod-
- ucts are identical to any requirement under a stand-
- ard promulgated under section 6,",
- 15 (2) in paragraph (4), by inserting before the
- comma the following: "at least as effective as en-
- forcement by the Secretary", and
- 18 (3) by adding after and below paragraph (8)
- the following: "The Secretary may waive any of the
- requirements of this subsection (other than the re-
- quirements of paragraphs (2) and (6)) upon a re-
- quest of a State seeking approval of a plan or an
- amendment to an approved plan. Such a waiver shall
- not extend for more than 3 years but may be re-
- 25 newed if the Secretary determines that the rate of

- 1 occupational fatalaties, injuries, and illnesses has
- 2 declined in such State during the period of the
- 3 waiver.".

#### 4 SEC. 14. NIOSH.

- 5 The Occupational Safety and Health Act (as amend-
- 6 ed by section 2) is amended by repealing section 23 (29
- 7 U.S.C. 671) and the functions and authorities provided
- 8 to the National Institute of Occupational Safety and
- 9 Health under section 22 are transferred to the Secretary
- 10 of Labor. The responsibilities and authorities of the Sec-
- 11 retary of Health and Human Services under sections 21,
- 12 22, and 23 are transferred to the Secretary of Labor. The
- 13 Secretary of Labor shall take such actions as are nec-
- 14 essary to avoid duplication of programs and to maximize
- 15 training, education, and research under the Occupational
- 16 Safety and Health Act of 1970.

### 17 SEC. 15. PREVENTION OF ALCOHOL AND SUBSTANCE

- 18 ABUSE.
- 19 The Occupational Safety and Health Act of 1970 (as
- 20 amended by section 2) is amended by repealing sections
- 21 29 through 32, by redesignating sections 33, 34, and 35
- 22 as sections 30, 31, and 32, respectively, and by inserting
- 23 after section 28 (29 U.S.C. 676) the following:
- 24 "ALCOHOL AND SUBSTANCE ABUSE TESTING
- 25 "Sec. 29. (a) Whenever there exists the reasonable
- 26 probability that the safety or health of any employee could

- 1 be endangered because of the use of alcohol or a controlled
- 2 substance in the workplace, the employer of such employee
- 3 may establish and implement an alcohol and substance
- 4 abuse testing program in accordance with subsection (b).
- 5 "(b) The Secretary shall establish standards under
- 6 section 6 for substance abuse and alcohol testing programs
- 7 established under subsection (a) as follows:
- 8 "(1) The substance abuse testing program shall
- 9 conform, to the maximum extent practicable, to sub-
- part B of the mandatory guidelines for Federal
- workplace drug testing programs published on April
- 12 11, 1988, by the Secretary of Health and Human
- 13 Services at 53 Federal Register 11979 and any
- amendments adopted to such guidelines.
- 15 "(2) The alcohol testing program shall take the
- form of alcohol breath analysis and shall conform, to
- the maximum extent practicable, to any guidelines
- developed by the Secretary of Transportation for al-
- cohol testing of mass transit employees under the
- 20 Department of Transportation and Related Agencies
- 21 Appropriations Act, 1992.
- 22 "(c) This section shall not be construed to prohibit
- 23 an employer from requiring an employee to submit to and
- 24 pass an alcohol or substance abuse test before employment
- 25 by the employer or—

- "(1) on a for cause basis or where the employer has reasonable suspicion to believe that such employee is using or is under the influence of alcohol or a controlled substance,
- 5 "(2) where such test is administered as part of 6 a scheduled medical examination,
  - "(3) in the case of an accident or incident involving the actual or potential loss of human life, bodily injury, or property damage,
    - "(4) during and for a reasonable period of time (not to exceed 5 years) after the conclusion of an alcohol or substance abuse treatment program, or
- "(5) on a random selection basis in work units, locations, or facilities where alcohol and substance abuse has been identified as a problem or as part of a universal testing program.".

#### 17 SEC. 16. COST EFFECTIVENESS STUDIES.

- 18 (a) Studies.—The Secretary of Labor shall contract
- 19 with a qualified organization or agency to conduct studies
- 20 of the effect of standards promulgated under section 6.
- 21 Each study shall assess the costs of implementation of the
- 22 standard, both in the first year and on an annual basis,
- 23 on employers and the effectiveness of the standard in re-
- 24 ducing injuries and illnesses. Standards shall be

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- 1 prioritized for study according to their estimated cost of
- 2 compliance at the time of promulgation.
- 3 (b) AUTHORIZATION OF APPROPRIATIONS.—There
- 4 are authorized to be appropriated \$2,000,000 for each of
- 5 the fiscal years 1995, 1996, 1997, 1998, and 1999 for
- 6 carrying out the studies described in subsection (a).

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